



CONNECTICUT PORT AUTHORITY 2019 ANNUAL REPORT (C.G.S. § 1-123(a))

**Concerning Fiscal Year
July 1, 2018 - June 30, 2019**

The Connecticut Port Authority ("CPA") is a quasi-public agency, pursuant to Section 1-120(1) of the General Statutes of Connecticut ("C.G.S."), a body politic and corporate, constituting a public instrumentality and political subdivision of the State of Connecticut ("State" or "Connecticut") that is responsible for coordinating the development of the State's ports and harbors and maritime economy. *See generally* C.G.S. § 15-31b(a). The creation of the CPA represents a major commitment by the State to invest in its port infrastructure to create jobs and attract private investment to the State.

Pursuant to C.G.S. § 1-123(a), "[t]he board of directors of ... shall annually submit a report to the Governor and the Auditors of Public Accounts and two copies of such report to the Legislative Program Review and Investigations Committee. Such report shall include, but not be limited to, the following: (1) A list of all bond issues for the preceding fiscal year, including, for each such issue, the financial advisor and underwriters, whether the issue was competitive, negotiated or privately placed, and the issue's face value and net proceeds; (2) a list of all projects other than those pertaining to owner-occupied housing or student loans receiving financial assistance during the preceding fiscal year, including each project's purpose, location, and the amount of funds provided by the agency; (3) a list of all outside individuals and firms receiving in excess of five thousand dollars in the form of loans, grants or payments for services, except for individuals receiving loans for owner-occupied housing and education; (4) a balance sheet showing all revenues and expenditures; (5) the cumulative value of all bonds issued, the value of outstanding bonds, and the amount of the state's contingent liability; (6) the affirmative action policy statement, a description of the composition of the agency's work force by race, sex, and occupation and a description of the agency's affirmative action efforts; and (7) a description of planned activities for the current fiscal year."

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I. List of Bond Issues

SBC DAATE	PUBLIC ACT	ITEM/REQUEST NO.	AMOUNT
7/25/18	Public Act 13-239, Section 32 (f)	32/17	\$1,501,000
12/11/18	Public Act 13-239, Section 32 (f)	26	\$3,750,000
12/11/18	Public Act 15-1, Section 32 (m)	18	\$1,750,000
9/20/18	Public Act 18-178	2	\$5,000,000

Project Descriptions included in Appendix A

II. List of Projects Receiving Financial Assistance

Recipient	Project Location	Project Purpose	Program Details/Authority	Assistance Type	Amount
Wiese Construction, Inc.	State Pier Demo – Central Wharf	Port Infrastructure	Capital	Bond	\$119,400
Town of Clinton	Clinton	Boat Ramp Facilities	SHIPP Program	Grant	\$110,000

III. List of Outside Individuals and Firms Receiving > \$5,000.00

CPA Vendors	07/01/18 – 06/30/19	Type
Aecom	\$1,085,783.30	Engineer/Architect Services
American Assoc of Port Authorities	\$8,672.00	Dues/Membership/Business Development
AmeriFactors	\$6,000.00	Advertising
Anchor Engineering Services	\$5,880.00	Engineer/Architect Services
Andrew S. Lavigne	\$8,987.50	Strategic Advisory Services
Blum & Shapiro	13,825.00	Audit
Chubb Insurance	\$5,833.95	Liability Insurance
Crowley Ford	\$28,326.40	Company Vehicle
CT Audubon Society	\$35,365.34	Piping Plover Sanctuary
CERC	\$92,626.56	Consulting
Dealy Mahler Strategies	\$71,500.00	Communications
DEEP – CT	\$23,614.24	State Pier Development
Dime Oil	\$6,537.19	Oil for State Pier
Evan Matthews	\$6,114.10	Business mileage reimbursement
Gowrie Group	\$52,951.00	Property & Vehicle Insurance

GZA Environmental, Inc.	\$47,053.19	Engineer/Architect Services
New London Dept. of Public	\$5,509.31	Water/Sewer State Pier
Quinn & Hary Marketing	\$54,691.69	Communications
Robinson & Cole LLP	\$663,992.76	Legal
Saybrook Junction, LLC	\$22,906.66	Office Rent
Seabury	\$252,171.18	Consultants – State Pier negotiations
Sound Computers	\$8,628.65	IT services, equipment, server
Town of Clinton	\$110,000.00	SHIPP grant
Treasurer State of CT	\$27,996.00	Payroll
WB Mason	\$20,273.51	Office Supplies/Furniture
Wiese Construction	\$113,430.00	State Pier Central Wharf Demolition
	Total: \$2,778,669.53	

IV. Balance Sheet - Revenues and Expenditures

Please see attached [Appendix B](#)

V. Value of Bonds Issued, Outstanding, and State's Contingent Liability

N/A. Since its inception, the CPA has yet to issue bonds.

VI. Affirmative Action Policy Statement / Workforce Composition / Efforts

A. EQUAL EMPLOYMENT OPPORTUNITY AND AFFIRMATIVE ACTION POLICY

It is the policy of the Port Authority to provide equal employment opportunities to all applicants and employees regardless of race, color, religious creed, sex, sexual orientation, gender identity or expression, marital status, age, national origin, ancestry, mental disability, intellectual disability, learning disability, physical disability, veteran status, or any other characteristic protected by federal, state, or local law. Employees who feel they have been treated less favorably on the basis of any protected characteristic should contact the Port Authority's Executive Director or the Chairperson of the Board of Directors immediately. Retaliation for making a complaint or otherwise participating in an investigation of potential violations of this policy is not tolerated.

It is also the policy of the Port Authority to take affirmative action to employ and to advance in employment, all persons regardless of race, color, religious creed, sex, sexual orientation, gender identity or expression, marital status, age, national origin, ancestry, mental disability, intellectual disability, learning disability, physical disability, veteran status, or any other characteristic protected by federal, state, or local law, and to base all employment decisions only on valid job requirements. This policy shall apply to all employment actions, including but not limited to recruitment, hiring, upgrading, promotion, transfer, demotion, layoff, recall, termination, rates of pay or other forms of compensation and selection for training, including apprenticeship, at all levels of employment.

Employees and applicants of the Port Authority will not be subject to harassment on the basis of race, color, religious creed, sex, sexual orientation, gender identity or expression, marital status, age, national origin, ancestry, mental disability, intellectual disability, learning disability, physical disability, veteran status, or any other characteristic protected by federal, state, or local law. Additionally, retaliation, including intimidation, threats, or coercion, because an employee or applicant has objected to discrimination, engaged or may engage in filing a complaint, assisted in a review, investigation, or hearing or have

otherwise sought to obtain their legal rights under any federal, state, or local EEO law is prohibited. For information regarding the Port Authority's policy for addressing complaints of harassment, please refer to the Policy Against Sexual Harassment.

The Port Authority is committed to the principles of Affirmative Action and Equal Employment Opportunity. In order to ensure dissemination and implementation of Equal Employment Opportunity and Affirmative Action throughout the Port Authority, the Executive Director will be responsible for implementing, monitoring, and reporting on the overall EEO program. The Executive Director has been designated as the EEO Coordinator. In furtherance of the Port Authority's policy regarding Affirmative Action and Equal Employment Opportunity, the Port Authority has/will develop practices to ensure that its policy of nondiscrimination and affirmative action for women, minorities, individuals with disabilities, and protected veterans is accomplished.

B. WORKFORCE COMPOSITION/ANALYSIS

Category/Job Type	Caucasian (Male)	Caucasian (Female)	Black/African-American (Male)	Black/African-American (Female)	Hispanic (Male)	Hispanic (Female)	Other (Male)	Other (Female)
Officials/Directors	1	0	0	0	0	0	0	0
Professionals	1	0	0	0	0	0	0	0
Administrative/Clerical	0	2	0	0	0	0	0	0
Intern/Educational	2	0	0	0	0	0	0	0
Other	1	0	0	0	0	0	0	0
TOTALS:	5	2	0	0	0	0	0	0

**C. AFFIRMATIVE ACTION AND EQUAL EMPLOYMENT OPPORTUNITY EFFORTS
JULY 1, 2018 - JUNE 30, 2019**

The Connecticut Port Authority is committed to the principles of Affirmative Action and Equal Employment Opportunity as it continues to grow its staff and programs.

VII. Planned Activities for Year

Project Listing:

State Bond Commission Authorizations to the Authority – FY20:

- New London State Pier Infrastructure Improvements – Master Plan Maximum Build-Out
- New London Pier 7 Fort Trumbull State Park – Infrastructure Improvements
- New Haven Federal Navigation Channel Deepening – Dredging Cost Share

Ports, Harbors and Marinas Capital Program – FY20

- Statewide Small Harbor Improvement Project Program (SHIPP Rounds 2 and 3)
- New Haven Federal Navigation Project – Deepening Dredging
- Bridgeport Federal Navigation Project – Dredging of Black Rock Harbor & BPT. Harbors
- Branford Federal Navigation Project – Stoney Creek Dredging
- Southport Federal Navigation Project – Southport Harbor Dredging

New London State Pier Dredging Program
New London Pier 7 Fort Trumbull – Infrastructure Improvements
Statewide Deepwater Ports Capital Equipment Program
Statewide Port Terminals Statewide – Inland Intermodal
Statewide Property Acquisition(s) and Upgrades Deepwater Ports
Statewide Port Studies
East Shore Properties – New Haven – Phase 1 Environmental

Appendix A

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 31-38

ITEM NO. 32

CONNECTICUT PORT AUTHORITY

GRANTS-IN-AID FOR IMPROVEMENTS TO PORTS AND MARINAS, INCLUDING DREDGING AND
NAVIGATIONAL DIRECTION

REQUESTED: An Allocation and Bond Authorization (to Agency) \$1,501,000

FROM: Sec. 32(f) Acct. No. 12052-CPA47300-43509

Total Earmarking	\$25,000,000
Previous Allocations	<u>19,664,831</u>
Balance Unallocated	<u>\$ 5,335,169</u>

REASON FOR REQUEST:

These funds are requested to finance port improvement projects as detailed below.

- A. These funds are requested to finance the purchase of four parcels of Department of Transportation surplus properties adjacent to the Port of New Haven for maritime related uses.

Funds are requested as follows:

Total, This Request	\$751,000
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- B. These funds are requested to finance the preliminary design for structural and utility improvements to Pier 7 at Fort Trumbull State Park in New London.

The pier is utilized by the United States Coast Guard and Navy and visiting vessels.

Funds are requested as follows:

Total, This Request	\$750,000
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Grand Total, This Request	<u>\$1,501,000</u>
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PUBLIC ACT #178, 2018
SECTION 8-14

ITEM NO. 2

OFFICE OF POLICY AND MANAGEMENT

GRANTS-IN-AID FOR DREDGING AND NAVIGATIONAL IMPROVEMENTS FOR ECONOMIC DEVELOPMENT

REQUESTED: An Allocation and Bond Authorization (to agency) \$5,000,000

FROM: Sec. 9 Acct. No. 12052-OPM20000-43739

Total Authorized	\$25,000,000
Previous Allocations	<u>20,000,000</u>
Balance Unallocated	<u>\$ 5,000,000</u>

REASON FOR REQUEST:

These funds are requested to finance maintenance dredging of selected areas at the State Pier in New London.

These funds will be administered by the Connecticut Port Authority.

Funds are requested as follows:

Total, This Request \$5,000,000

PUBLIC ACT #1, 2015
 JUNE SPECIAL SESSION
 AS AMENDED
 SECTION 31-38

ITEM NO. 18

CONNECTICUT PORT AUTHORITY

GRANTS-IN-AID FOR IMPROVEMENTS TO PORTS, HARBORS AND MARINAS, INCLUDING DREDGING AND NAVIGATIONAL IMPROVEMENTS, PROVIDED NOT LESS THAN \$5,000,000 SHALL BE MADE AVAILABLE TO THE PORTS, HARBORS AND MARINAS IN THE STATE OTHER THAN THE DEEP WATER PORTS IN THE CITIES OF BRIDGEPORT, NEW HAVEN AND NEW LONDON

REQUESTED:	An Allocation and Bond Authorization (to agency)	\$1,750,000
FROM:	Sec. 32(m)	Acct. No. 12052-CPA47300-43683
	Total Earmarking	\$6,750,000
	Previous Allocations	-0-
	Balance Unallocated	<u>\$6,750,000</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 26, to provide supplemental financing for infrastructure repairs and improvements at the State Pier in New London.

Projects include demolition of selected structures, site improvements, storm water treatment and drainage improvements, upgrades to increase laydown capabilities, construction of a heavy lift pad, improvements to load bearing capacity and replacement of mooring dolphins.

Financing:

Total Estimated Project Cost	\$20,500,000
Less: Previous Funding	15,000,000
P.A. 13-239, Sec. 32(f), Item 26	<u>3,750,000</u>
Total, This Request	<u>\$ 1,750,000</u>

PUBLIC ACT #239, 2013
AS AMENDED
SECTION 31-38

ITEM NO. 26

CONNECTICUT PORT AUTHORITY

GRANTS-IN-AID FOR IMPROVEMENTS TO PORTS AND MARINAS, INCLUDING DREDGING AND
NAVIGATIONAL DIRECTION

REQUESTED: An Allocation and Bond Authorization (to Agency) \$3,750,000
FROM: Sec. 32(f) Acct. No. 12052-CPA47300-43509

Total Earmarking	\$25,000,000
Previous Allocations	<u>21,165,831</u>
Balance Unallocated	<u>\$ 3,834,169</u>

REASON FOR REQUEST:

These funds are requested, along with those under Item 18, to provide supplemental financing for infrastructure repairs and improvements at the State Pier in New London.

Funds are requested as follows:

Total Request	\$5,500,000
Less: P.A. 15-1, Sec. 32(m), Item 18	<u>1,750,000</u>
Total, This Request	<u>\$3,750,000</u>

Appendix B

1:50 PM
12/03/19
Accrual Basis

**CT Port Authority - Gen Op
Balance Sheet
As of June 30, 2019**

	<u>Jun 30, 19</u>
ASSETS	
Current Assets	
Checking/Savings	
10000 · ESB - SVGS - 8005	500,027.50
10100 · ESB - CHKG - 6805	375,140.17
10200 · ESB - CHKG - BOND - 0987	177,315.84
10300 · ESB - SVGS - 2413	230,513.64
10400 · ESB - CHKG - 0193	637.55
Total Checking/Savings	<u>1,283,634.70</u>
Accounts Receivable	
11000 · Accounts Receivable	117,953.87
Total Accounts Receivable	<u>117,953.87</u>
Other Current Assets	
12000 · DOT/ CORE Fund Balance	10,734.06
12200 · DUE FROM STATE - BOND FUNDS	762,193.28
Total Other Current Assets	<u>772,927.34</u>
Total Current Assets	<u>2,174,515.91</u>
Fixed Assets	
13000 · Furniture & Fixtures	51,864.00
13200 · Vehicles	28,326.40
14000 · Accumulated Depreciation	-2,842.00
Total Fixed Assets	<u>77,348.40</u>
Other Assets	
15000 · Security Deposit	1,133.33
Total Other Assets	<u>1,133.33</u>
TOTAL ASSETS	<u><u>2,252,997.64</u></u>
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	
20000 · Accounts Payable	829,964.84
Total Accounts Payable	<u>829,964.84</u>
Other Current Liabilities	
20100 · Accrued Salaries Payable	22,343.66
20200 · Accrued Payroll Taxes	1,567.01
20300 · Accrued Compensated Abscences	20,759.49
20400 · Accrued Fringe Benefits	17,285.00
20500 · Unearned Revenue	416,666.66
Total Other Current Liabilities	<u>478,621.82</u>
Total Current Liabilities	<u>1,308,586.66</u>
Total Liabilities	<u>1,308,586.66</u>
Equity	
32000 · Retained Earnings	900,500.16
Net Income	43,910.82
Total Equity	<u>944,410.98</u>
TOTAL LIABILITIES & EQUITY	<u><u>2,252,997.64</u></u>