



CONNECTICUT PORT AUTHORITY 2018 ANNUAL REPORT (C.G.S. § 1-123(a))

Concerning Fiscal Year
July 1, 2017 - June 30, 2018

The Connecticut Port Authority (“CPA”) is a quasi-public agency, pursuant to Section 1-120(1) of the General Statutes of Connecticut (“C.G.S.”), a body politic and corporate, constituting a public instrumentality and political subdivision of the State of Connecticut (“State” or “Connecticut”) that is responsible for marketing and coordinating the development of the State’s ports and harbors and maritime economy. *See generally* C.G.S. § 15-31b(a). The creation of the CPA represents a major commitment by the State to invest in its port infrastructure to create jobs and attract private investment to the State.

Pursuant to C.G.S. § 1-123(a), “[t]he board of directors of ... shall annually submit a report to the Governor and the Auditors of Public Accounts and two copies of such report to the Legislative Program Review and Investigations Committee. Such report shall include, but not be limited to, the following: (1) A list of all bond issues for the preceding fiscal year, including, for each such issue, the financial advisor and underwriters, whether the issue was competitive, negotiated or privately placed, and the issue’s face value and net proceeds; (2) a list of all projects other than those pertaining to owner-occupied housing or student loans receiving financial assistance during the preceding fiscal year, including each project’s purpose, location, and the amount of funds provided by the agency; (3) a list of all outside individuals and firms receiving in excess of five thousand dollars in the form of loans, grants or payments for services, except for individuals receiving loans for owner-occupied housing and education; (4) a balance sheet showing all revenues and expenditures; (5) the cumulative value of all bonds issued, the value of outstanding bonds, and the amount of the state’s contingent liability; (6) the affirmative action policy statement, a description of the composition of the agency’s work force by race, sex, and occupation and a description of the agency’s affirmative action efforts; and (7) a description of planned activities for the current fiscal year.”

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I. List of Bond Issues

June 1, 2018	Public Act 15-1, Section 13(h)(i)	\$12,500,000.00
June 1, 2018	Public Act 13-239, Section 32(f)	\$3,000,000.00
November 29, 2017	Public Act 13-239, Section 32(f)	\$4,011,650.00

II. List of Projects Receiving Financial Assistance

Recipient	Project Location	Project Purpose	Program Details/Authority	Assistance Type	Amount
	Essex	Harbor Management Plan	SHIPP Program	Grant	\$42,500.00
	Fairfield	Harbor Management Plan	SHIPP Program	Grant	\$ 6,500.00
	Norwich	Harbor Management Plan	SHIPP Program	Grant	\$7,500.00
	Wethersfield	Boat Ramp Facilities	SHIPP Program	Grant	\$765,000.00
	West Haven	Boat Ramp Facilities	SHIPP Program	Grant	\$59,800.00
	Fairfield	Boat Ramp Facilities	SHIPP Program	Grant	\$266,000.00
	Clinton	Boat Ramp Facilities	SHIPP Program	Grant	\$110,000.00
	New Haven	Boat Ramp Facilities	SHIPP Program	Grant	\$120,000.00
	Milford	Marina Repair	SHIPP Program	Grant	\$398,000.00
	Norwalk	Marina Repair	SHIPP Program	Grant	\$908,250.00
	Groton-Town	Marina Repair	SHIPP Program	Grant	\$730,000.00
	Groton-City	Marina Repair	SHIPP Program	Grant	\$54,400.00
	Waterford	Marina Repair	SHIPP Program	Grant	\$35,000.00
	Stratford	Marina Repair	SHIPP Program	Grant	\$13,700.00
	Chester	Dredging	SHIPP Program	Grant	\$15,000.00
	Madison	Breakwater Pier	SHIPP Program	Grant	\$90,000.00
	Stonington	Breakwater Pier	SHIPP Program	Grant	\$255,000.00
	Stonington	Breakwater Pier	SHIPP Program	Grant	\$135,000.00
City of New London	New London	Harbor Management Plan	C.G.S. § 13b-55a	Grant	\$16,000.00

III. List of Outside Individuals and Firms Receiving > \$5,000.00

CPA Vendors	06/01/17 – 07/30/18	Type
Dealy Mahler Strategies, LLC	\$ _____	Communications
Andrew S. Lavigne	\$ _____	Consulting Services
Quinn & Hary Marketing	\$ _____	Communications
Mott MacDonald NY, Inc.	\$ _____	Strategic Advisory Services
Seabury PFRA LLC	\$ _____	Strategic Advisory Services
HDR Engineering, Inc.	\$ _____	Engineering/Consulting Services
AECOM Technical Services, Inc.	\$ _____	Engineering Design Services
Aegir	\$ _____	Real Estate Appraisal Services
CERC	\$ _____	Maritime Strategy, Analysis & Planning
Gowrie Group	\$ _____	Insurance Services
Robinson & Cole LLP	\$ _____	Legal Services

Blum, Shapiro & Company, P.C.	\$ _____.	Auditing Services
Accurate Ledgers, LLC	\$ _____.	Bookkeeping Services
Logistec USA Inc.'s	\$ _____.	
Saybrook Junction, LLC	\$ _____.	
	Total: \$ _____.	

IV. Balance Sheet - Revenues and Expenditures

Please see attached Appendix A

V. Value of Bonds Issued, Outstanding, and State's Contingent Liability

N/A. Since its inception, the CPA has yet to issue bonds.

VI. Affirmative Action Policy Statement / Workforce Composition / Efforts

A. EQUAL EMPLOYMENT OPPORTUNITY AND AFFIRMATIVE ACTION POLICY

It is the policy of the Port Authority to provide equal employment opportunities to all applicants and employees regardless of race, color, religious creed, sex, sexual orientation, gender identity or expression, marital status, age, national origin, ancestry, mental disability, intellectual disability, learning disability, physical disability, veteran status, or any other characteristic protected by federal, state, or local law. Employees who feel they have been treated less favorably on the basis of any protected characteristic should contact the Port Authority's Executive Director or the Chairperson of the Board of Directors immediately. Retaliation for making a complaint or otherwise participating in an investigation of potential violations of this policy is not tolerated.

It is also the policy of the Port Authority to take affirmative action to employ and to advance in employment, all persons regardless of race, color, religious creed, sex, sexual orientation, gender identity or expression, marital status, age, national origin, ancestry, mental disability, intellectual disability, learning disability, physical disability, veteran status, or any other characteristic protected by federal, state, or local law, and to base all employment decisions only on valid job requirements. This policy shall apply to all employment actions, including but not

limited to recruitment, hiring, upgrading, promotion, transfer, demotion, layoff, recall, termination, rates of pay or other forms of compensation and selection for training, including apprenticeship, at all levels of employment.

Employees and applicants of the Port Authority will not be subject to harassment on the basis of race, color, religious creed, sex, sexual orientation, gender identity or expression, marital status, age, national origin, ancestry, mental disability, intellectual disability, learning disability, physical disability, veteran status, or any other characteristic protected by federal, state, or local law. Additionally, retaliation, including intimidation, threats, or coercion, because an employee or applicant has objected to discrimination, engaged or may engage in filing a complaint, assisted in a review, investigation, or hearing or have otherwise sought to obtain their legal rights under any federal, state, or local EEO law is prohibited. For information regarding the Port Authority's policy for addressing complaints of harassment, please refer to the Policy Against Sexual Harassment.

The Port Authority is committed to the principles of Affirmative Action and Equal Employment Opportunity. In order to ensure dissemination and implementation of Equal Employment Opportunity and Affirmative Action throughout the Port Authority, the Executive Director will be responsible for implementing, monitoring, and reporting on the overall EEO program. The Executive Director has been designated as the EEO Coordinator. In furtherance of the Port Authority's policy regarding Affirmative Action and Equal Employment Opportunity, the Port Authority has/will develop practices to ensure that its policy of nondiscrimination and affirmative action for women, minorities, individuals with disabilities, and protected veterans is accomplished.

B. WORKFORCE COMPOSITION/ANALYSIS

Category/Job	Caucasian	Caucasian	Black/African-	Black/Afri
Officials/Directors	1	0	0	0
Professionals	3	0	0	0
Administrative/Clerical	1	1	0	0
Intern/Educational	1	0	0	0
Other	0	0	0	0
TOTALS:	6	6	0	0

c. AFFIRMATIVE ACTION EFFORTS JULY 1, 2017 - JUNE 30, 2018

The Connecticut Port Authority is committed to the principles of Affirmative Action Opportunity as it continues to grow its staff and programs.”

VII. Planned Activities for Year

Project Listing:

Harbor Management Plans-Studies (50% Cost Share)

Essex \$42,500

Fairfield \$ 6,500

Norwich \$ 7,500

Boat Ramp Facilities

Wethersfield \$765,000

West Haven \$59,800

Fairfield \$266,000

Clinton \$110,000

Stamford \$120,000

Marina Repair (Docks, Piles & other)

Milford \$398,000

Norwalk \$908,250

Groton-Town \$730,000

Groton-City \$54,400

Waterford \$35,000

Stratford \$13,700

Dredging

Chester \$15,000

Breakwater – Pier – Other

Madison \$90,000

Stonington \$255,000 (Pier-Town Dock)

Stonington \$135,000 (Breakwater Reconstruction)

Appendix A